Optimal Selection of LQR Parameter Using AIS for LFC in a Multi-Area Power System

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OPTIMAL SELECTION OF LQR PARAMETER USING AIS FOR LFC IN A MULTI-AREA POWER SYSTEM

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Abstract

This paper proposes a method to optimize the parameter of linear quadratic regulator (LQR) using artificial immune system (AIS) via clonal selection. The parameters of LQR utilized in this paper are the weighting matrices Q and R. The optimal LQR control for load frequency control (LFC) is installed on each area as a decentralized control scheme. The aim of this control design is to improve the dynamic performance of LFC automatically when unexpected load change occurred on power system network. The change of load demand 0.01 p.u used as a disturbance is applied to LFC in Area 1. The proposed method guarantees stability of the overall closed-loop system. The simulation result shows that the proposed method can reduce the overshoot of the system and compress the time response to steady-state which is better compared to trial error method (TEM) and without optimal LQR control.

Keywords: linear quadratic regulator (LQR); artificial immune system; clonal selection; load frequency control (LFC)

I. INTRODUCTION

Load frequency control (LFC) is one of the main parts on power system where the main function of LFC is to maintain the frequency fluctuation during exchange power in the power system network on which the generator dispatch must satisfy the system conditions caused by the fluctuation of load change [1].

Multi-area power system is a complex dynamic system. The decentralized control design is suitable for multi-area power system because the controller is set to work in each area. The controller works based on the information

only on each area. When any change of output variables in one area occurs, only the controller takes the action in order to maintain the stability from disturbance on its area. The improvement of the dynamic performance caused by small load change has been reported by Robandy et al. [2] and the application of optimal control to improve the dynamic performance on power system using linear quadratic regulator (LQR) is provided by Mahmud et all [3]. The method used to improve the dynamic performance on power system by those two previous researches [2, 3] provide satisfactory results. The number of control strategies have been employed in control design of LFC in order to achieve better dynamic performance [4 - 6].

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Many types of Artificial Immune System (AIS) algorithms are based on the variety of immunological studies such as immune network [7], negative selection [8], danger theory [9] and clonal selection [10]. Clonal selection algorithm (CSA) is a special type of AIS which uses the clonal selection part of the AIS as a main mechanism. Clonal selection is based on a situation of 'B' cell response against nonselfmolecule called antigen with an affinity by proliferating and producing antibody in order to kill antigenic cells [11]. AIS via clonal selection is one of metaheuristic methods utilized to solve a complex problem in optimization research field. The optimal solution obtained by AIS via clonal selection is better than optimal solution produced by a genetic algorithm (GA) [12]. Furthermore, the AIS via clonal selection is more efficient than other classical heuristic algorithms such as simulated annealing (SA), tabu search (TB), and genetic algorithm (GA) [13].

AIS via clonal selection had received much attention regarding its potential as a global optimization technique and it has been applied on power system research field as reported in [14, 15, 16]. In [14], AIS via clonal was used for allocating an optimal var compensator in power system. AIS via clonal selection was used to adjust the parameter of PSS based LQR in single machine infinite bus (SMIB) [15]. The authors apply optimal LQR control as PSS to a study dynamic stability. Maryono *et al.* [16] uses AIS via clonal selection for tuning parameter of thyristor controlled series capacitor (TCSC) and PSS for damping controller in power system.

This paper proposes AIS via clonal selection to tune Q and R matrices as to obtain feedback controller gain where applied for multi-area load frequency control (LFC). Some of classical control approaches for LFC are based on mathematical models. These approaches have difficulties in gaining the control purposes in the presence of changing the operating points such as load changes under which the model is derived, and lack of system components. In order to tackle these limitations, an application of intelligent technology is proposed.

In this paper, artificial immune system (AIS) via clonal selection method is utilized to optimize the parameters of LQR. The weighting matrices Q and R of LQR are important parameters which obtaining an optimal feedback gain to improve the dynamic performance of LFC in multi-area power system by observing the change of frequency on each area.

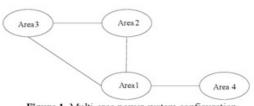


Figure 1. Multi-area power system configuration

This paper is organized as follows: the power system model of LFC for multi-area power system is explicated in section II. The proposed method is introduced in section III. The implementation of proposed method is given in section IV. The simulation results are shown in section V.

II. POWER SYSTEM MODEL

The configuration of a multi-area power system in this paper is depicted in Figure 1. It consists of 4 LFC areas where each area has number of generators. All generators in one area are simplified as an equivalent generator unit (EGU).

In a certain LFC area the dynamical model of its EGU can be expressed as follows: without generator rates and/or turbine dead-band, the dynamic model can be expressed as linear model in the following equations.

$$\Delta \dot{f}_i = \frac{K_{pi}}{T_{pi}} \Delta P_{mi} - \frac{K_{pi}}{T_{pi}} \Delta P_{tie-i} - \frac{1}{T_{pi}} \Delta f_i - \frac{K_{pi}}{T_{pi}} \Delta P_{Li}$$
(1)

$$\Delta \dot{P}_{Ti} = \frac{1}{T_{Ii}} \Delta P_{Gi} - \frac{1}{T_{Ii}} \Delta P_{Ti}$$
(2)

$$\Delta \dot{P}_{Gi} = \frac{1}{\mathrm{T}_{\mathrm{Gi}}} \Delta P_{ci} - \frac{1}{\mathrm{T}_{\mathrm{Gi}} \mathrm{R}_{\mathrm{i}}} \Delta f_{i} - \frac{1}{\mathrm{T}_{\mathrm{Gi}}} \Delta P_{Gi} + \frac{1}{\mathrm{T}_{\mathrm{Gi}}} u_{i}$$
(3)

$$\Delta \dot{P}_{ci} = -\mathbf{K}_{\mathbf{I}i} \mathbf{B}_{i} \Delta f_{i} - \mathbf{K}_{\mathbf{I}i} \Delta P_{tie-i}$$
(4)

$$\Delta \dot{P}_{tie-i} = \frac{1}{s} \Big(\mathbf{T}_{ij} \Delta f_i - \mathbf{T}_{ij} \Delta f_j \Big)$$
(5)

The linear dynamic model of the *i*th LFC area is depicted in Figure 2.

Equations (1)-(5) form a state-space model representation as follow [17].

$$\dot{\mathbf{x}}_{i}(t) = \mathbf{A}_{i} \mathbf{x}_{i}(t) + \sum_{\substack{j=1\\i\neq i}}^{N} A_{ij} \mathbf{x}_{j}(t) + \mathbf{B}_{i} u_{i}(t) + \mathbf{F}_{i} \Delta P_{Li}(t)$$
(6)

$$\mathbf{y}_i(t) = \mathbf{C}_i \mathbf{x}_i(t) \tag{7}$$

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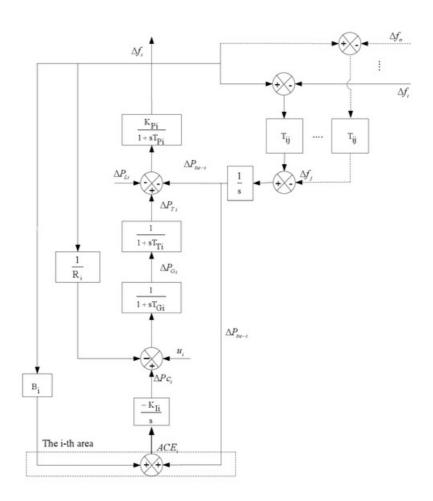


Figure 2. A linear block diagram of the *i*th LFC area

where $x_i(t) \in \Re^n$ is state variable of area i, $u_i(t) \in \Re^m$ is input variable of area i, and $y_i(t) \in \Re^r$ is output variable of area i. The variables are defined as follows.

$$x_{i}(t) = \left[\Delta f_{i} \Delta P_{Ti} \Delta P_{Gi} \Delta P_{Ci} \Delta P_{tie-i}\right]^{T}$$

 u_i (t) = the *i*-th area input signal of ACE_i

$$ACE_i = \Delta P_{tie-J} + B_i \Delta f_i$$

$$y_i(t) = \Delta f_i$$

Definitions of model parameters and variables stated in equations (1) - (7) are shown in Table 1.

By combining 4 EGUs, a block diagram of LFC in the 4 areas power systems can be illustrated in Figure 3. Its state space equation is described as follows,

$$\dot{\mathbf{x}}_{i}(t) = \mathbf{A}_{i} \mathbf{x}_{i}(t) + \sum_{\substack{j=1\\i\neq i}}^{N} A_{ij} \mathbf{x}_{j}(t) + \mathbf{B}_{i} u_{i}(t) + \mathbf{F}_{i} \Delta P_{Li}(t)$$
(8)

$$y(t) = Cx(t) \tag{9}$$

Table 1.

Definitions of model parameters and variables

Parameter/ Variable	Decription
Δf_i	The ith area frequency deviation
ΔP_{Ti}	The ith area turbine output deviation
ΔP_{Gi}	The ith area governor output deviation
ΔP_{Ci}	The ith area control input deviation
ΔP_{tie-i}	The ith area net tie-line power deviation
ΔP_{L-i}	The ith area load disturbance
D_i	The ith area load damping coefficient
M_i	The ith area inertia constant
R_i	The ith area governor speed regulation
T_{Ti}	The ith area turbine time constant
T_{Gi}	The ith area governor time constant
T _{ij}	The ith area synchronizing coefficient
K _{Ii}	The ith area integration gain
B _i	The ith area frequency bias parameter
K_{pi}	The ith area power system gain
T _{pi}	The ith area power system time constant
u _i	The ith area input signal

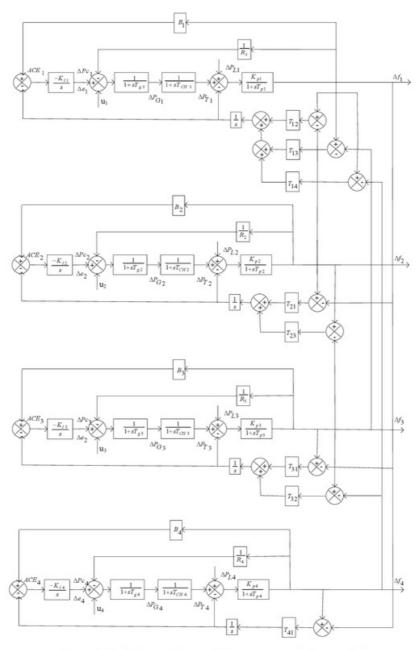


Figure 3. Block diagram of 4 areas LFC power system in linear model

State variable, input variable and output are given by:

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 $\begin{aligned} \mathbf{x}(t) &= \left[\Delta f_1 \Delta P_{T1} \Delta P_{G1} \Delta P_{C1} \Delta P_{tie-1} \Delta f_2 \Delta P_{T2} \Delta P_{G2} \Delta P_{C2} \Delta P_{tie-2} \Delta f_3 \Delta P_{T3} \Delta P_{G3} \Delta P_{C3} \Delta P_{tie-3} \Delta f_4 \Delta P_{T4} \Delta P_{G4} \Delta P_{C4} \Delta P_{tie-4} \right]^{\mathrm{T}} \\ \mathbf{u}(t) &= \left[u_1 u_2 u_3 u_4 \right]^{\mathrm{T}} \\ \mathbf{y}(t) &= \left[\Delta f_1 \Delta f_2 \Delta f_3 \Delta f_4 \right]^{\mathrm{T}} \end{aligned}$

where Δf_1 , Δf_2 , Δf_3 , Δf_4 are frequency deviation for area 1, 2, 3 and 4, respectively; ΔP_{T1} , ΔP_{T2} , ΔP_{T3} , ΔP_{T4} express of turbine output

deviation for area 1, 2, 3 and 4; ΔP_{G1} , ΔP_{G2} , ΔP_{G3} , ΔP_{G4} denote of governor output deviation for area 1, 2, 3 and 4; ΔP_{C1} , ΔP_{C2} , ΔP_{C3} , ΔP_{C4} refer to control input deviation of area 1, 2, 3 and 4; ΔP_{tie-1} , ΔP_{tie-2} , ΔP_{tie-3} , ΔP_{tie-4} stand for deviation in net tie-line power of area 1, 2, 3 and 4. u_1 , u_2 , u_3 , u_4 denote for input signal of area 1, 2, 3, and 4 respectively.

Matrix representation of the state space and output equations of the LFC in 1 areas power system is as follows,

The parameters of LFC for the 4 areas interconnection power system are provided in Table 2.

III. PROPOSED METHOD

This paper proposes the well-known optimal linear quadratic regulator (LQR) where the parameters of LQR are optimized by AIS via clonal selection to design load frequency control system.

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A. Linear Quadratic Regulator (LQR)

Optimal control system based on LQR can be stated as a matter of practical control system then it is desirable to minimize an error 27 al function. Its application can be expressed in the for 29 f a block diagram as illustrated in Figure 4.

In order to obtain the necessary control signal u, amplifier controller K has to be obtained from LQR method. On the other hand, to keep the system stable, a stable controller is required.

The plant is assumed to be a linear timeinvariant (LTI) system which can be expressed in (8) and (9). Based on LQR theory, control signal can be calculated as follows [8].

A quadratic criterion is chosen to optimize the problem with its performance index is as follows,

$$J(t_0) = \frac{1}{2} \frac{x^T(T)S(T)x(T)}{13} + \frac{1}{2} \frac{1}{t_0^T} [x^T(t)Qx(t) + u^T(t)Ru(t)]dt$$
(10)

 t_0 is the initial condition 21 the system, $S(T) \ge$ 0 (positive semi-definite), $\overline{\mathbf{Q}} \ge 0$ (positive semidefinite) and $\mathbf{R} > \theta$ (positive definite) with the dimension \mathbf{Q}^{nxn} and \mathbf{R}^{mxm} respectively. S(T), \mathbf{Q} , dan R are symmetric shaped weights matrices.

From optimal control theory, the gain and control input are given by the following equations.

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	Area 1		Area 2		Area 3		Area 4
K _{pl}	120Hz/puMW	K _{p2}	112.5Hz/puMW	K _{p3}	125Hz/puMW	K_{p4}	115Hz/puMW
Tgl	0.08s	T_{g2}	0.072s	T _{g3}	0.07s	T_{g4}	0.085s
T _{pl}	20s	T _{p2}	25s	T _{p3}	20s	T_{p4}	15s
T _{T1}	0.3s	T_{T2}	0.33s	T _{T3}	0.35s	T_{T4}	0.375s
Rg1	2.4Hz/puMW	R _{g2}	2.7Hz/puMW	R _{g3}	2.5Hz/puMW	R _{g4}	2Hz/puMW

K_{i2}= K_{i3}= K_{i4}=0.6

 $10_{B_2} = B_3 = B_4 = 0.425 \text{ puMW/Hz}$

 $T_{12} = T_{13} = T_{14} = T_{21} = T_{23} = T_{31} = T_{32} = T_{41} = 0.545$

T24= T34= T42= T43=0

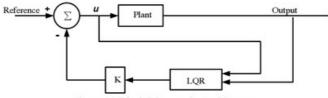


Figure 4. Block Diagram Control System

$$\mathbf{K}(t) = \mathbf{R}^{-1} \mathbf{B}^T \mathcal{S}(t), \in \mathfrak{R}^{\mathrm{mxn}}$$
(11)

$$u(\mathbf{12}) \mathbf{K}(t)x(t) \tag{12}$$

S(t) is the solution of the following Riccati equation.

$$-\dot{S} = \mathbf{A}^{T}S + S\mathbf{A} - S\mathbf{B}\mathbf{R} \mathbf{A}^{T}S + \mathbf{Q}$$
(13)

The state space of the closed loop system is

$$\dot{x}(t) = (\mathbf{A} - \mathbf{B}\mathbf{K})x \tag{14}$$

In the closed-loop system matrix, Riccati equation becomes Joseph stabilized formulation as follows

$$-\dot{S} = (\mathbf{A} - \mathbf{B}\mathbf{K})^T S + S(\mathbf{A} - \mathbf{B}\mathbf{K}) + \mathbf{K}^T \mathbf{R}\mathbf{K} + \mathbf{Q}$$
(15)

and

$$J(t) = \frac{1}{2} x^{T}(t)S(t)x(t) + \frac{1}{2} \int_{t}^{T} \left\| \mathbf{R}^{-1} \mathbf{B}^{T} S x + u \right\|_{R}^{2} dt$$
(16)

The performance index on [t,T] become,

$$J(t) = \frac{1}{2}x^{T}(t)S(t)x(t)$$
(17)

Gain matrix K in equation (12) which is obtained from equation (11) is substituted into equation (13) then fed it back to the system in order to obtain the minimum output.

B. Artificial Immune System (AIS)

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AIS is an optimization algorithm that mimics human immulal system. The immune system has the function to protect the human body from the attack of foreign organisms. 3 he immune system has ability to differentiate between the normal components of our organism and the foreign material that can cause harm. The foreign organisms are called antigens. The molecules called antibodies have important role on the immune system response. The immune system response is specific to a certain antigen. When an antigen is known, those antibodies that best identify an antigen will proliferate by cloning. This process is called clonal selection principle. The principle of AIS via clonal selection is illustrated in Figure 5 [10], [11].

Three aspects in clonal selection concept are described as follows,

- a) The new cells which are submitted to chromosomal mutation chemical mechanism are verily duplicated of their parents.
- b) Evacuation of newly differentiated lymph cell bringing self-r17 ive sensory receptor.
- c) Development and differentiation on contact of mature cells with a 30 ens.

Sub population of bone marrow cells derived (B lymphocytes) will react by resulting anti

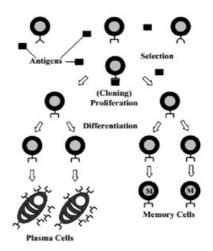


Figure 5. Artificial immune system (AIS) via clonal selection [11]

bodies (Ab) when an antibody is strongly matches to an antibody cell confidential only has one type of antibody which is relatively specific for the antigen.

Antigen is identified by antibody with particular affinity (degree of match), the B lymphocytes will be encouraged to proliferate (divide) and finally grow into terminal (nondividing) antibody secreting cells, called plasma cell. Proliferation of the B lymphocytes is mitotic process with the help of the cells divides themselves, producing a set of clones identical to the parent cell. The proliferation degree is proportional to the affinity level, i.e. the higher affinity levels of B lymphocyte, the more of them will be readily 50sen for cloning and cloned in large numbers. In addition to proliferating and maturing into plasma cells, the immune cells can distinguish into long-lived memory cell. Memory cells distribute through the blood, lymph and tissues and when exposed too second antigenic stimulus they commence into large immune cells (lymphocyte) capable of producing high affinity antibody specific antigen that once stimulated the primary response.

Pseudo code of AIS via clonal selection is destribed as follows [11].

```
P ← rand(N, L)
While Not Stop condition Do
For Each p of P Do // presentation
affinity(p)
End For
P1 ← select(P, n) // clonal selection
For Each p1 of P1 Do // clonal
expansion
C ← clone(p1)
End For
For Each c of C Do //affinity
maturation
```

```
hypermutation(c)
End For 4
For Each c of C Do // presentation
affinity(c)
End for
P ← insert (C, n) // greedy selection
Pr ← rand (d, L)
P ← replace (P. D. Pr) // random
replacement End While
```

The description of parameter for AIS via clonal selection is illustrated in Table 3.

C. Implementation of Proposed Method

In this section, the implementation of AIS via clonal selection to optimize the parameters of optimal LQR control is described.

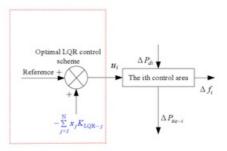
The scheme of optimal LQR control is illustrated in Figure 6. K_{LQR} in Figure 6 is gain for feedback control obtained from solution of equation (13). The AIS via clonal selection serves as a tool to adjust the values contained in Q and R matrices automatically which are very important component of optimal LQR control. Equation (17) is used as the performance index (*J*) of the system which will be minimize in this paper. This function is used as an affinity function in optimization process. 24 yechart of AIS via clonal selection utilized to select the optimal weighting matrices Q and R is shown in Figure 7.

Computation procedure of AIS via clonal selection to obtain optimal LQR parameters depicted in Figure 7 is as follows,

- a. Generate initial population of antibody: Generate initial antibody in population
- b. Calculate the objective function (affinity): Performance index used as objective function is defined as follows,

Table 3.

Parameter	Description		
Р	Antibodies' repertoire		
N	Number of antibodies 2		
N	Antibodies will be selected for cloning		
L	Bit string length for each antibody		
Nc	Number of clone produced by each selected antibody 2		
D	Random number of antibodies to insert at the end of each generation. Best antibodies replace the d lowest affinity antibodies in the repertoire		
Stop condition	Maximum generation		
2 inity	Solution evolution		
Clone	Duplication of selected bit string		
Hypermutate	Modification of a bit string where the flipping 2 bit(it may be single bit or multiple bit) is governed by an affinity proportionate probability distribution		





$$T(t) = \frac{1}{2} \frac{8}{x^{T}(t)S(t)x(t)}$$

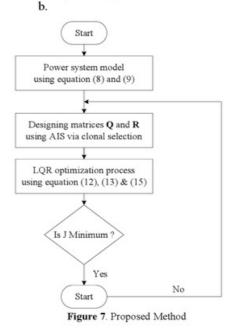
Subject to,

$$\mathbf{Q}_{\min} \leq \mathbf{Q} \leq \mathbf{Q}_{\min}$$

 $R_{\min} \leq R \leq R_{\max}$

where Q_{min}, Q_{max}, R_{min}, R_{max} are 0, 100, 0, 10, respectively.

- c. Select the best antibody by measuring their affinities: Affinity is calculated by performance index in step b. Antibody with high affinity is the best antibody in this algorithm.
- Clone best antibody: Antibody with high affinity in population has higher probabilities will be cloned.
- e. Take into account the population of clones to an affinity maturation scheme: Antibody with lower affinity has higher probabilities will be hyper-mutated.
- f. Re-select: Every antibody is re-select based on step



g. Replace a new antibody to previous antibody:

Antibody with lower affinity will be replaced.

IV. NUMERICAL STUDIES

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All simulations are in 12 mented on a desktop personal computer with a 2.20 GHz Intel Core i7 processor with 8 GB of RAM using the MATLAB software environment. We set the number of antibodies for AIS via clonal selection to 50, the maximum number of generations to 100 for all areas.

The proposed method is examined by applying the change of load 0.01 p.u on area 1 as disturbance. The convergence curves of AIS via clonal selection for the best affinity values on each area are illustrated in Fig.8

Figure 8 shows that AIS via clonal selection reaches the convergence value at generation 19, 6, 43, 66 for area 1, 2, 3 and 4, respectively. Performance index (PI) value of optimal LQR control on each area is illustrated in Table 4. Although the maximum generation is set to 100, the AIS via clonal selection for area 1 to area 4 had reached earlier than maximum generation for all areas.

The comparison of matrices **Q** and **R** obtained by Trial and Error (TEM) and AIS via clonal selection are listed in Table 5. Frequency deviation and control input deviation for area 1 to area 4 are depicted in Figure 9 (a)-(b) to 12 (a)-(b). From Figure 9 (a)-(b) to 12 (a)-(b), we can observe that the smallest overshoot and settling time are obtained by the proposed method. The values of overshoot and settling time in figures 9 to 12 are shown in Table 6 and Table 7.

Table 4.

PI value of optimal LQR control using AIS via clonal selection

Area	J (minimum)
1	0.7824
2	0.2053
3	0.4339
4	0.4092

Table 5.		
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Parameter of optimal LQR control

Area	Param	eter of o	ptimal LQR control	l I
	TEM	[AIS via clonal sele	ction
	Q	R	Q	R
1	0.7	2.4	72.2633	1
	0.7		1.0000	
	0.7		27.3150	
	0.7		4.7557	
	0.7		3.0630	
2	0.7	2.7	14.2810	1
	0.7		94.0000	
	0.7		68.3249	
	0.7		8.0154	
	0.7		3.8331	
3	0.7	2.5	61.2065	1
	0.7		0	
	0.7		39.3815	
	0.7		25.3775	
	0.7		28.6025	
4	0.7	2	94.8829	1
	0.7		0	
	0.7		64.8708	
	0.7		23.3996	
	0.7		7.7552	

From Table 6 and 7, we can observe that the shortest settling time and minimum overshoot of frequency deviation can be obtained by AIS via clonal selection. System without optimal LQR control is the worst; this system used manual gain

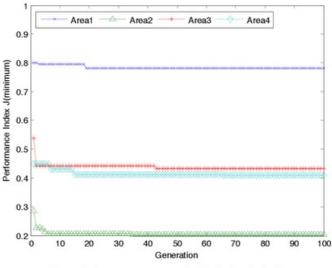


Figure 8. Convergence curve of AIS via clonal selection

feedback to produce signal control of the system. The best performance of the system is LFC equipped by optimal control which was tuned by AIS via clonal selection. If the control input has a

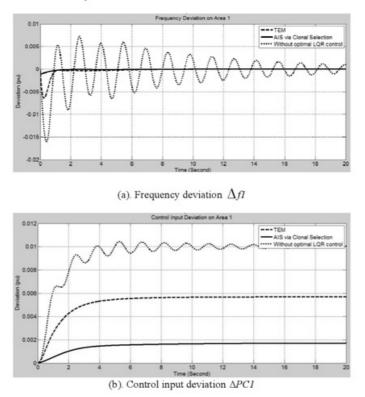
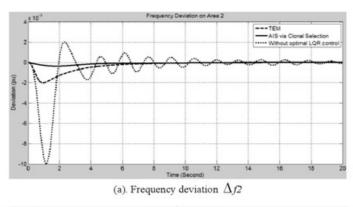


Figure 9. Frequency and control input deviations on Area 1



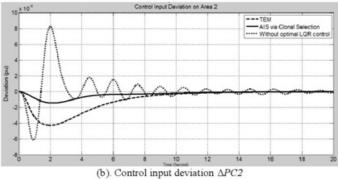
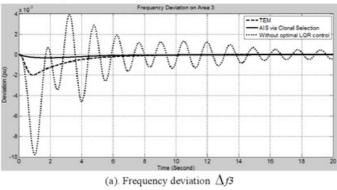


Figure 10. Frequency and control input deviations on Area 2

good response, less overshoot and faster settling time then the response of the system will be as

good as the control input.



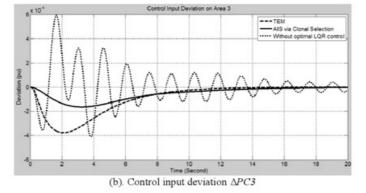
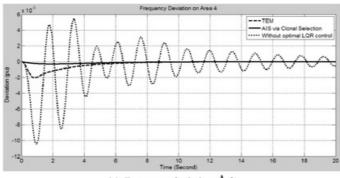
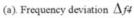


Figure 11. Frequency and control input deviations on Area 3





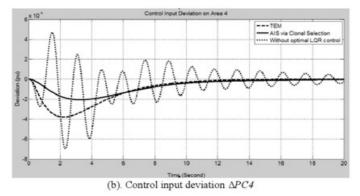


Figure 12. Frequency and control input deviations on Area 4

Table 6.	
Overshoot (p.u)	

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Output	Without Optimal LQR Control	Trial-Error Method (TEM)	Optimal Control AIS
Δf_l	-0.01583	-0.006319	-0.0009466
Δf_2	-0.009948	-0.001992	-0.0003705
Δf_3	-0.009625	-0.001986	-0.0003067
Δf_4	-0.01038	-0.001985	-0.0002702
Δu_I	0.01043	0.00565	0.001685
Δu_2	0.0008278	-0.000426	-0.000144
Δu_3	0.0005908	-0.0003785	-0.0001659
Δu_{ℓ}	0.0004677	-0.0003806	-0.000215

V. CONCLUSION

In this paper, Load frequency control (LFC) for multi-area power system network is presented. The impact of LFC control method to maintain the frequency fluctuation caused by load change is examined. An application of AIS via clonal selection to determine the optimal LQR cont18 parameters is provided. The advantage of the proposed method is that it can adjust automatically the parameters of optimal LQR control when there is load change on power system network. Although Trial Error Method (TEM) is simple, it is difficult to obtain optimal control performances. Also, it takes a long time select the best optimal LQR control to parameters. It is clear that optimal LQR control optimized by AIS via clonal selection is more suitable to improve the system dynamic than TEM.

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Та	ble 7.
Set	tling Time (Second)

Output	Without Optimal LQR Control	Trial-Error Method (TEM)	Optimal Control AIS
Δf_I	>20	8.01	6.34
Δf_2	>20	7.58	5.32
Δf_3	>20	7.99	5.90
Δf_4	>20	7.67	5.12
ΔP_{CI}	>20	9.89	13.57
ΔP_{C2}	>20	10.67	7.13
ΔP_{C3}	>20	17.26	15.11
ΔP_{C4}	>20	16.11	14.29

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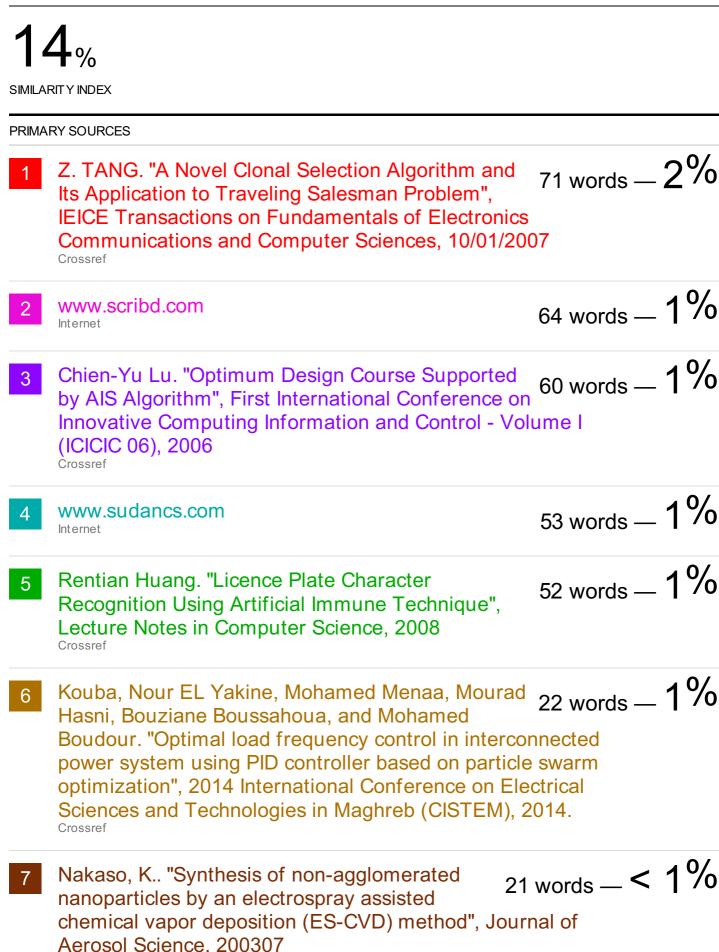
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